PTO/SB/21 (09-04) (AW 10/2004) Approved for use through 7/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL FORM** 

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

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Application Number	09/980,880	
Filing Date	September 3, 2002	
First Named Inventor	Yoshio GODA, et al.	
Art Unit	1745	
Examiner Name	Robert W. Hodge	,
Attorney Docket No.	MAT-8189US	

ENCLOSURES (Check all that apply)						
Fee Transmittal Form	Drawing(s)	After Allowance Communication to TC				
PTO 2038 Attached	Licensing-related Papers	Appeal Communication to Board				
Amendment/Reply	Petition	of Appeals and Interferences				
After Final Affidavits/Declaration(s)	Petition to Convert to a Provisional Application	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
Extension of Time Request	Power of Attorney, Revocation, Change of Correspondence Address	Proprietary Information				
Express Abandonment Request	Terminal Disclaimer	Status Letter				
Information Disclosure Statement	Request for Refund	Other Enclosure(s) (please identify below): PTO-2038				
Certified Copy of Priority Document(s)	CD, Number of CD(s)  Landscape Table on CD	Return postcard (2) References EU Search Report				
Response to Missing Parts/ Incomplete Application	Remarks:					
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATUR	E OF APPLICANT, ATTORNEY OR AG	ENT				
Firm Name RatherPrestia						
Signature Lecu C	MX					
Printed Name Lawrence E. Ashery						
Date // March 6, 2007	Registration No.	34,515				
CERTIFICATE OF TRANSMISSION / MAILING						
I hereby certify that this correspondence is being facsim postage as first class mail in an envelope addressed to:	le transmitted to the USPTO or deposited with the U commissioner for Patents, P.O. Box 1450, Alexandr	nited States Postal Service with sufficient ia, VA 22313-1450 on the date shown below:				
Signature Sett G						
Typed or Printed Name Beth Johnson		Date March 6, 2007				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

09/980,880

Applicant:

Y. GODA et al.

Filed:

September 3, 2002

Title:

TOP SEALING PLATE, BATTERY USING THE TOP SEALING PLATE, AND

METHOD OF MANUFACTURING THE BATTERY

TC/A.U.:

1745

Examiner:

Robert W. Hodge

#### **INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and PTO/SB/08b forms submitted herewith. Copies of the references listed, other than U.S. patents, are enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English Language:

- 1. JP 61-114466
- 2. JP 59-189557

References 1) and 2) include an English language abstract.

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MAT-8189US PATENT

References 1) and 2) were cited by a foreign patent examining authority in connection with the examination of a counterpart of the above identified application. A copy of this English language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609(a)iii, second paragraph.

#### STATEMENT UNDER 37 C.F.R. § 1.97(e) [choose one]

The undersigned hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

The required fee set forth in 37 C.F.R. § 1.17(p) is provided herewith.

Respectfully submitted

Lawrence E. Ashery, Reg. No. 34,515

Attorney for Applicants

LEA/bj

Enclosures: PTO/SB/08a and PTO/SB/08b

(2) References Search Report Transmittal Form

Credit Card Payment Form

Dated: March 6, 2007

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Director is hereby authorized to charge or credit Deposit Account No. **18-0350** for any additional fees, or any underpayment or credit for overpayment in connection herewith..

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To di-

Beth Johnson

123076



## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known					
Application Number	09/980,880				
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First Named Inventor	Y. GODA et al.				
Art Unit	1745				
Examiner Name	Robert W. Hodge				
Attorney Docket No.	MAT-8189US				

	U.S. PATENT DOCUMENTS					
	Cite No.1	Document Number		_	Pages, Columns, Lines, Where	
		Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	-	US-4,662,533	05-05-1987	TAKAHASHI AKIRA et al.		
	1	US-				
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	FOREIGN PATENT DOCUMENTS						
Examiner C	Cite	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup>	(MM-DD-YYYY)	Document	Figures Appear	™	
		JP 61 114466 (w/English Abstract)	06-02-1986	SHIN KOBE ELECTRIC MACHINERY			
		JP 59 189557 (w/English Abstract)	10-27-1984	MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.			
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Examiner	Date	
Signature	Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

<sup>&</sup>lt;sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

Applicant is to place a check mark here if English language translation is attached.

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Signature

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 2

Complete if Known					
Application Number	09/980,880				
Filing Date	September 3, 2002				
First Named Inventor	Y. GODA et al.				
Art Unit	1745				
Examiner Name	Robert W. Hodge				
Attorney Docket No.	MAT-8189US				

Considered

	NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²			
		European Search Report for corresponding European Patent Application No. 01 906 345.2 dated February 20, 2007.				
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Evaminer	<del>'</del>	Date	<u> </u>			

*EXAMINER:	: Initial i	if reference consider	ed, whether or not	t citation is in co	onformance with MPEI	P 609.	Draw line through citation if	f not in conformance and	not
considered.	Include	copy of this form w	ith next communic	ation to Applica	ant.		_		

<sup>1</sup>Applicant's unique citation designation number (optional).

'Applicant's unique citation designation number (optional).

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